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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: : ATTN: PATENT PUBLICATIONS
Guoyi FU et al. : Examiner: Mathieu D. Vargot
Serial No.: 09/878,189 ✓ : Group Art Unit: 1732
Filed: June 12, 2001 : Allowed: January 21, 2004
For: METHOD FOR PRODUCING SPHERE-BASED CRYSTALS

REQUEST FOR COMPLETE NOTICE OF ALLOWANCE

MAIL STOP: ISSUE FEE
Commissioner for Patents
PO Box 1450
Alexandria VA 22313-1450

Sir:

Attached is a copy of Applicants' Information Disclosure Statement and Form PTO-1449 filed October 1, 2002, a copy of which has not yet been returned to applicants with the examiner's initials indicating the references cited therein were considered during the prosecution of the above-identified application. It is respectfully requested that an examiner-initialed copy of Form PTO-1449 be returned to applicants.

Respectfully submitted,

I. William Millen (Reg. 19,544)
Attorney for Applicants
MILLEN, WHITE, ZELANO & BRANIGAN, P.C.
Arlington Courthouse Plaza I
2200 Clarendon Blvd., Suite 1400
Arlington, VA 22201
Direct Dial: (703) 812-5325
Fax: (703) 243-6410
Internet address: millen@mwzb.com

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Filed: March 18, 2004
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COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Guoyi FU et al.

Serial No.: 09/878,189

Group Art Unit: 1754

Filed: June 12, 2001

Examiner: UNASSIGNED

For: A METHOD FOR PRODUCING SPHERE-BASED CRYSTALS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.56, 1.97 and 1.98

Assistant Commissioner for Patents
Washington, D. C. 20231

Sir:

Attached hereto is copending Application No. 09/997,286.

CITED MATERIALS

Applicant(s) hereby disclose the information listed in the attached form PTO-1449.

- ☐ Copies of materials listed but not attached were cited in parent application Serial No. ____; see 37 C.F.R. § 1.98(d).
- ☐ Copies of materials listed but not attached were cited in an International Search Report dated ____; receipt of the International Search Report and copies of references was confirmed by the PCT International Division of the U.S. PTO in the Notice of Acceptance mailed ____.

LANGUAGE

- ☐ All listed materials are in the English language; see 37 C.F.R. § 1.98.
- ☐ Non-English language references:
 - ☐ The reference(s): _____ in the English-language is (are) indicated by commercial data bases to correspond to the reference(s): _____, respectively.
 - ☐ (An) English-language translation(s) of the references: _____ is (are) provided.
 - ☐ A commercial English-language abstract of reference(s) _____ is (are) provided.

- ☐ An English-language search report or an equivalent paper from a foreign patent office translated into English in pertinent part in connection with a counterpart foreign application, is provided indicating the relevance of the cited reference(s).

FEES

- ☒ No fee is required for this Information Disclosure Statement because:
- ☐ This Information Disclosure Statement is being filed within three months of the filing date of a national application (other than a CPA) under § 1.53(d) or within 3 months of the date of entry of the national stage under § 1.491 in an international application;
- ☒ This Information Disclosure Statement is being filed before the mailing of a first action on the merits;
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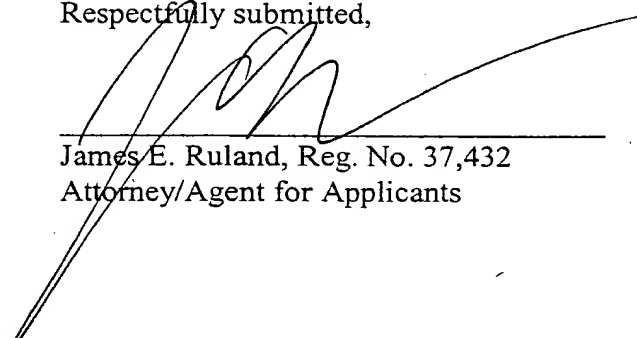
CERTIFICATION

- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
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DEPOSIT ACCOUNT

If a fee is due, attached is a check in the amount of \$ _____. However, the Commissioner is hereby authorized to charge fees under 37 CFR § 1.16 and § 1.17 which may be required to facilitate this filing, or credit any overpayment to Deposit Account #13-3402, two copies of this paper are attached for this purpose.

Respectfully submitted,



James E. Ruland, Reg. No. 37,432
Attorney/Agent for Applicants

MILLEN, WHITE, ZELANO
& BRANIGAN, P.C.
Arlington Courthouse Plaza I
2200 Clarendon Blvd. Suite 1400
Arlington, Virginia 22201
Telephone: (703) 243-6333
Facsimile: (703) 243-6410

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Date: October 1, 2002

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Sheet 1 of 10

Complete if Known

Application Number	09/878,189
Filing Date	June 12, 2001
First Named Inventor	Guoyi FU et al.
Group Art Unit	1754
Examiner Name	Unassigned
Attorney Docket Number	EMI-21

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Examiner Initials *	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 10

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Filing Date	June 12, 2001
First Named Inventor	Guoyi Fu et al.
Group Art Unit	1754
Examiner Name	Unassigned
Attorney Docket Number	EMI-21

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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Examiner Signature		Date Considered	
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	A28	Research with phrases, "anodize or anodization," refined with phrases "metal or metal oxide," and "alumina or silica or titania," and refined by document type "Review" to uncover 35 abstracts, 16 pages.	
	A29	Abstracts, 66 pages.	
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